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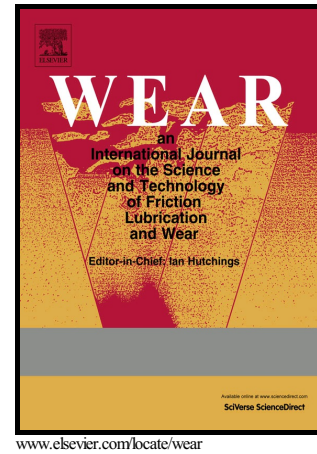
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# Author's Accepted Manuscript

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# Case Study: Wear Mechanisms of NiCrVMo-steel and CrB-steel Scrap Shear Blades

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## Abstract

Shear blades are extensively used in the recycling of metal scrap. A comparative study was conducted on used medium carbon NiCrVMo and CrB containing steel scrap shear blades to better understand their wear mechanisms under service conditions. The microstructure and hardness of worn cutting edges and bulk material were characterised by optical microscopy, scanning electron microscopy and microanalysis, X-ray diffraction analysis and macro/micro hardness testing. Moreover, tensile and Charpy impact properties were obtained from the bulk material. Several wear mechanisms were identified in both blades which are categorised in two main groups, i.e. spalling and progressive wear. The progressive wear due to abrasive, adhesive and oxidation wear was observed in both blades. In NiCrVMo-steel blades, spalling and crack propagation from surface/subsurface white etching layers mainly caused the severe wear. However, spalling due to delamination wear and crack propagation from severely deformed subsurface layers was the dominant severe wear mechanism in CrB-steel blades.

**Keywords:** Impact/sliding wear, Wear mechanism, Progressive wear, Spalling wear, Scrap shear blade

## 1. Introduction

The scrap shearing process primarily comprised a dry impact/sliding induced shear deformation in scraps. This can in turn cause severe wear in shearing blades, especially in sharp cutting edges. However, improving the wear performance of blades, or in general cutting tools, can increase the speed of shearing process, reduce the required shearing forces and minimise the maintenance costs of machines [1,2,3].

Controlling and improving the wear resistance of shear blades during shearing processes require a subtle design of geometry, chemical composition and heat treatment [2,4]. In this way, medium or high carbon high strength steels with high hardness, toughness, fracture toughness, strength and fatigue resistance have been introduced by steel makers for different applications and are widely used to produce shear blades. To manipulate the microstructure and control the mechanical properties of steels, blades are usually produced through quench-hardening, quench-tempering or quench-partitioning processes, depending on steel grade, e.g. [5]. The outstanding mechanical properties of these steels are mainly attributed to their microstructure, comprising a high dislocation density and fine lath shaped martensite/bainite or tempered-martensite [6,7,8]. However, further improvements to the wear resistance of scrap shear blade steels are still sought. The improvements should also be able to be achieved by existing manufacturing facilities and to meet cost reduction demands. This has driven the need for further investigations into the wear behaviour of high strength steels with different alloying additions and microstructures, and different wear conditions (e.g. sliding wear and impact wear) [9,10,11].

Depending on wear conditions, different mechanical properties (e.g. hardness and toughness) have been investigated against the chemical composition and microstructure of steels to understand wear mechanisms and consequently improve their wear resistant, e.g. [3,5]. The wear resistant have been widely improved by controlling the thermal conductivity and size, morphology and distribution of existing phases, precipitates, retained austenite and non-metallic inclusions. In this case, although many investigations have been conducted on the laboratory scale wear experiments of different high strength steels, no detailed study has been reported on the wear behaviour of scrap shear steel blades under service conditions, e.g. [12,13,14,15]. Due to complex wear conditions between blade and scrap during shearing process, it is difficult to estimate the wear mechanisms of blades from the laboratory scale simulations. Therefore, in this work a study was conducted on used blades made from two types of steels and with different lifetimes, to better understand wear mechanisms and their relation to the microstructure.

## 2. Experimental procedure

Commercial used blades received from end-users and they were used to cut scrap steels at ambient temperature under actual conditions. Table 1 compares the chemical composition of studied blades. The amount of each element was determined using a spark Optical Emission Spectroscopy (OES) technique. Consequently, the two sample blades were designated as “NiCrVMo” and “CrB” in this paper. The materials used for the blades were two medium carbon steels which were specifically designed to produce scrap shear blades. The NiCrVMo blade was heat treated by oil-

quenching (from 900 °C after 2h soaking time) and double tempering (400 °C/2+2 h) and the CrB blade by water-quenching (from 850 °C after 2 h soaking time) without tempering so as to maintain hardness values in a range of 49 to 51 HRC. Note that, the lifetime of NiCrVMo blades is approximately three times longer than the CrB blades under similar service conditions.

**Table 1,** Chemical composition of investigated steel blades (wt%).

Blades	C	Si	Mn	Cr	V	Mo	Ni	Ti	B	S	Fe
NiCrVMo	0.50	0.22	0.65	1.33	0.52	0.77	3.70	0.002	-	-	Bal.
CrB	0.30	0.30	1.30	0.53	-	-	-	0.050	0.004	0.014	Bal.

Fig. 1 shows a photograph of blades and a schematic sketch of sample cutting edge. Worn cutting edges of blades were cut along transverse and longitudinal directions for characterisations (Fig. 1 (b)). Sample preparation for microscopic characterisation was carried out according to standard methods [13]. The outermost surface of worn cutting edges was also cleaned ultrasonically in ethanol before microscopic characterisations. In addition, tensile and Charpy specimens were cut and prepared from regions just below the external surfaces of blades samples to test the tensile properties and impact toughness.

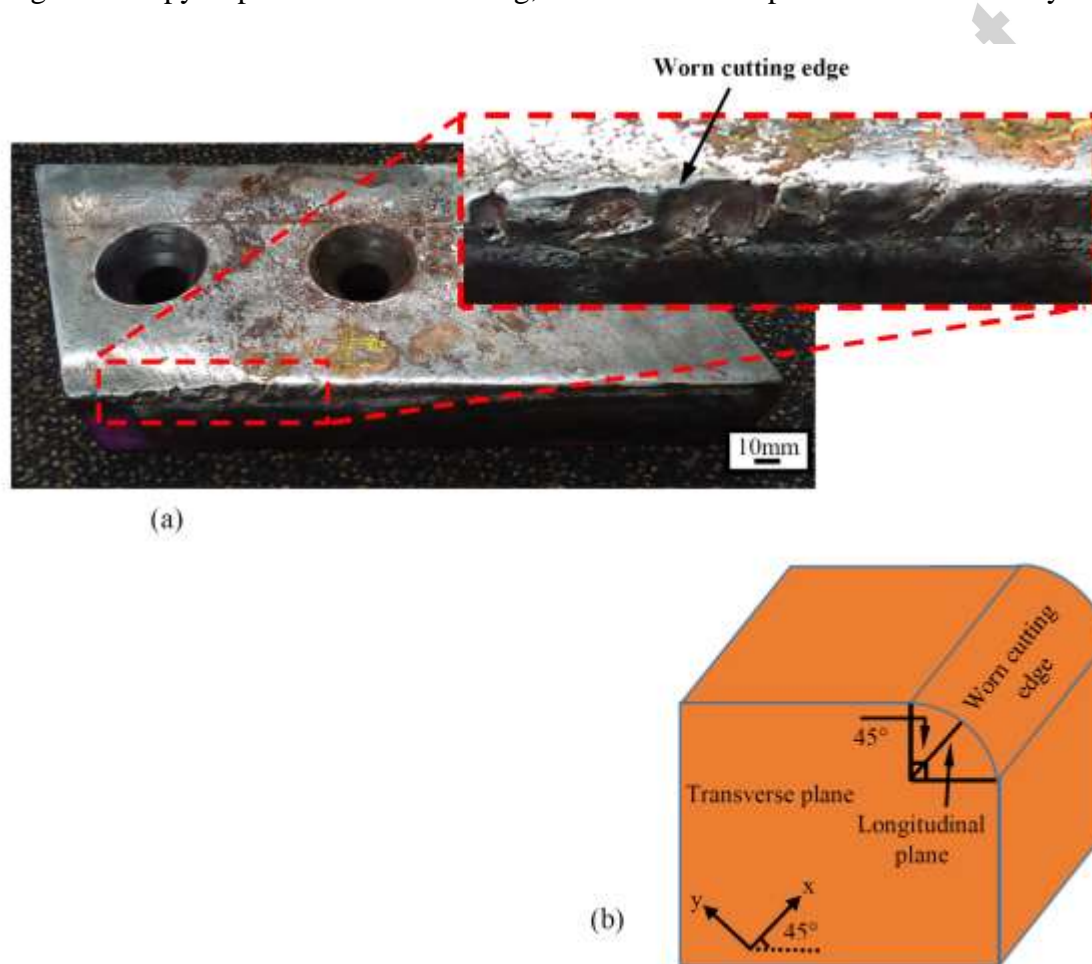
Microstructural characterisations were done by optical microscopy and SEM secondary electron (SE) and Back Scattered Electron (BSE) imaging, and EDS microanalysis. SEM analysis was carried out by a combination of Inspect FEI Quanta and FEG-Nova NanoSEM at 15 and 20 kV.

A PANalytical Empyrean X-ray diffractometer with Co K $\alpha$  radiation (i.e. including Co K $\alpha_1$  ( $\lambda=0.178900\text{nm}$ ) and Co K $\alpha_2$  ( $\lambda=0.179284\text{ nm}$ ) radiations) and the tube operating conditions of 40 kV and 40 mA was used to characterise the crystalline structure. Further details of microstructural evolution, especially small amounts of retained austenite at surface (with minimal X-ray penetration depth) of worn cutting edges was studied using Grazing incidence (GI-XRD) method ( $\Omega=3$  degree). Rietveld refinement was performed by the Topas Academic package software V5.0 in order to characterise the retained austenite.

Macro and micro hardness tester were used to measure the hardness properties of blades. Vickers hardness measurements (according to ASTM E92) were done with 30 kg load and 15 s holding time before unloading. Average hardness was determined from at least ten measurements per each sample. Hardness profile below worn cutting edge surface at cross sectional areas was determined by a Mitutoyo micro-hardness tester (according to ASTM E382) using a Knoop indenter with 200 g load and 15 s holding time before unloading. For the sake of comparison, conversions from HV and HK to HRC were carried out in compliance with ASTM E140.

The tensile properties were determined according to ISO-6892 using a Zwick-Roell testing machine at room temperature. A non-contact, high resolution laserXtens extensometer was used to record the elongation within the test in cylindrical dog-bone shaped tensile specimens with a gauge length of 25 mm and gauge diameter of 5 mm. The repeatability of tensile properties was checked by repeating the test using two separate samples from each steel blade.

V-notched Charpy impact testing was performed by a pendulum Avery-Denison Charpy testing machine (a nominal energy of 300 J) to determine the impact toughness of steels (matrix). Standard specimens were cut and machined from blades and were tested according to ASTM E23 at room temperature ( $55 \times 10 \times 10 \text{ mm}^3$  and a notch radius of 0.25 mm). The Charpy test was repeated three times using three separate samples from each steel blade to ensure the repeatability of results. Following the Charpy impact and tensile testing, the fractured samples were examined by SEM.



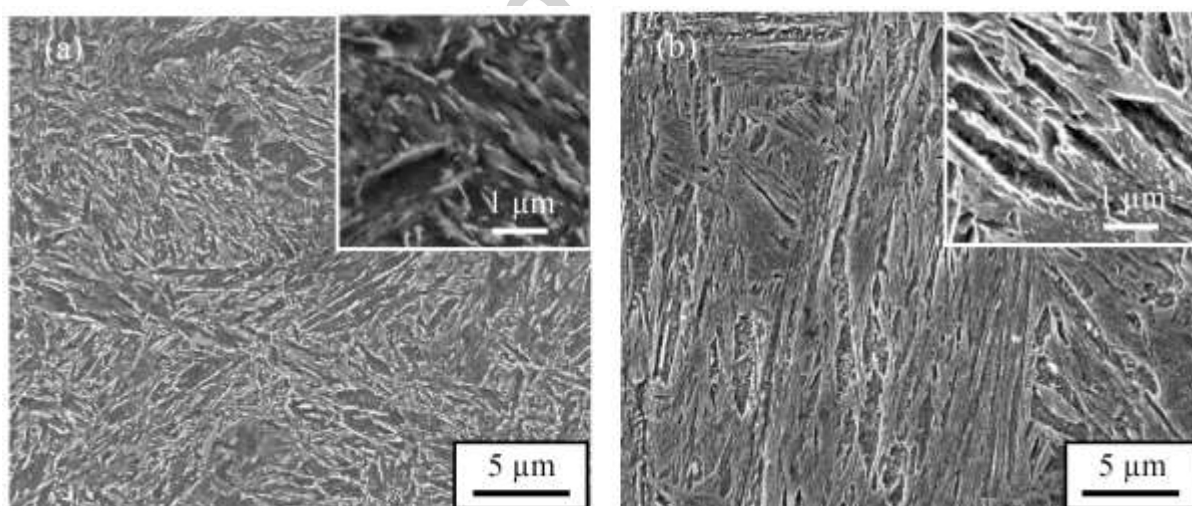
**Fig. 1,** (a) A typical worn blade, (b) Schematic illustration of worn edges and the direction of transverse and longitudinal planes for characterisation.

### 3. Results

#### 3.1. Microstructural and mechanical characterisation of matrix

Fig. 2 shows SEM micrographs corresponding to the microstructure of matrix of studied blades. The microstructure of NiCrVMo blades mainly consisted of a tempered martensitic structure, while CrB blades were comprised of martensite. A comparison between SEM results (e.g. Fig. 2) demonstrated that the lath shaped tempered-martensitic structure in NiCrVMo steel was rather finer than martensitic structure in CrB steel (e.g. Fig. 2). Moreover, microscopy observations along rolling and transverse directions indicated no significant difference in the microstructure of both blades. From FEG-SEM observations at higher magnification (e.g.  $\geq 40000\times$ ), it was also found that the microstructure of both blades comprised nano-scale Fe/alloy precipitates (Fig. 2). The results indicated that the density of observed carbides was qualitatively and considerably higher in the NiCrVMo steel. SEM-EDS results also revealed micro-scale non-metallic inclusions with different chemical compositions, sizes and morphologies that were distributed non-uniformly through the microstructure in both steels. In NiCrVMo blades, inclusions have an equi-axed morphology along both rolling and transverse directions. However, inclusions showed an equi-axed shaped morphology in the transverse direction and an elongated morphology along the rolling direction of CrB blades.

Further microstructural characterisations by XRD demonstrated the presence of martensite and retained austenite in the matrix of both blades. However, the amount of retained austenite was slightly lower in CrB steel compared to NiCrVMo steel (i.e. 1.1 vs. 2.7 wt%, respectively).



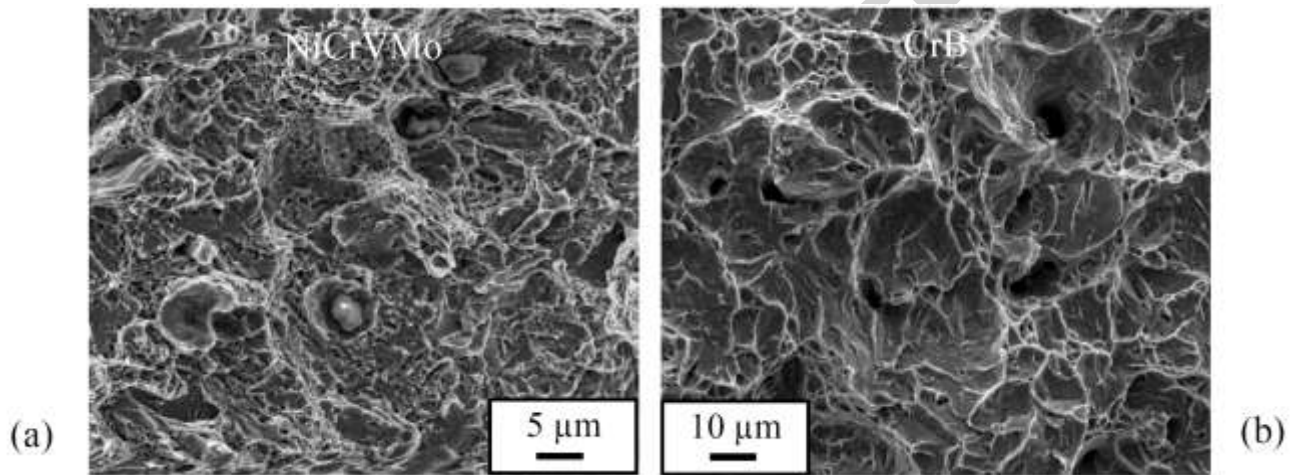
**Fig. 2,** SEM micrographs corresponding to the microstructure of matrix in studied blades, (a) NiCrVMo steel, (b) CrB steel.

Table 2 compares the mechanical properties of bulk material of worn blades (matrix). Hardness measurements showed a similar range of hardness for the matrix of both steels, i.e. 49-51

HRC. Furthermore, the results showed a higher toughness in CrB steel compared to NiCrVMo steel which can be mainly attributed to the effect of microstructure and chemical composition, especially the level of carbon content, i.e. 0.3 vs. 0.5 (wt%) [16]. SEM observations of Charpy specimens also indicated quasi-cleavage features in NiCrVMo steel (Fig. 3). However, the fracture surface of CrB steel showed a more ductile mode in both shear and cleavage areas, including relatively deeper/larger dimples compared to NiCrVMo steel. These results are consistent with toughness energy in all samples, confirming a rather low toughness of NiCrVMo steel compared to CrB steel.

**Table 2,** Tensile properties and Charpy impact results of NiCrVMo and CrB blades.

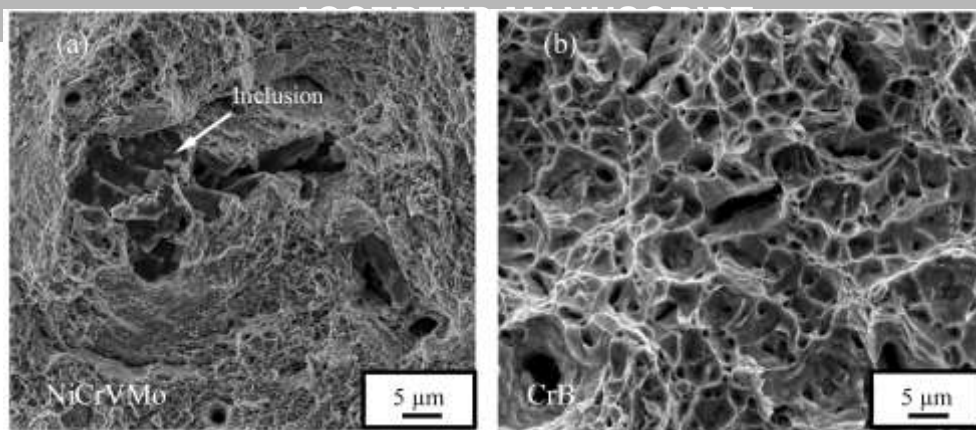
	Yield stress (MPa)	UTS (MPa)	el (%)	Reduction of area (%)	Toughness (J)	Hardness (HRC)
NiCrVMo steel	1276.5±2.5	1700.0±1.0	9.5±0.5	33.0±1.0	11.5 ± 0.5	50 ± 1
CrB steel	1147.3±4.9	1687.3±8.7	13.0±1.0	53.3±1.2	30.5 ± 0.5	50 ± 1



**Fig. 3,** (a) and (b) SEM micrographs corresponding to the cleavage area of fracture surface of Charpy specimens.

The tensile testing results showed similar yield and ultimate-tensile strengths for both steels, where the non-uniform elongation of NiCrVMo steels was significantly lower than CrB steel (Table 2). Moreover, tensile testing results indicated a ductile fracture in both steels, i.e. cup-and-cone. As with the fracture surface of Charpy specimens (e.g. Fig. 3), dimples were deeper/larger in CrB steel compared to NiCrVMo steel (Fig. 4).





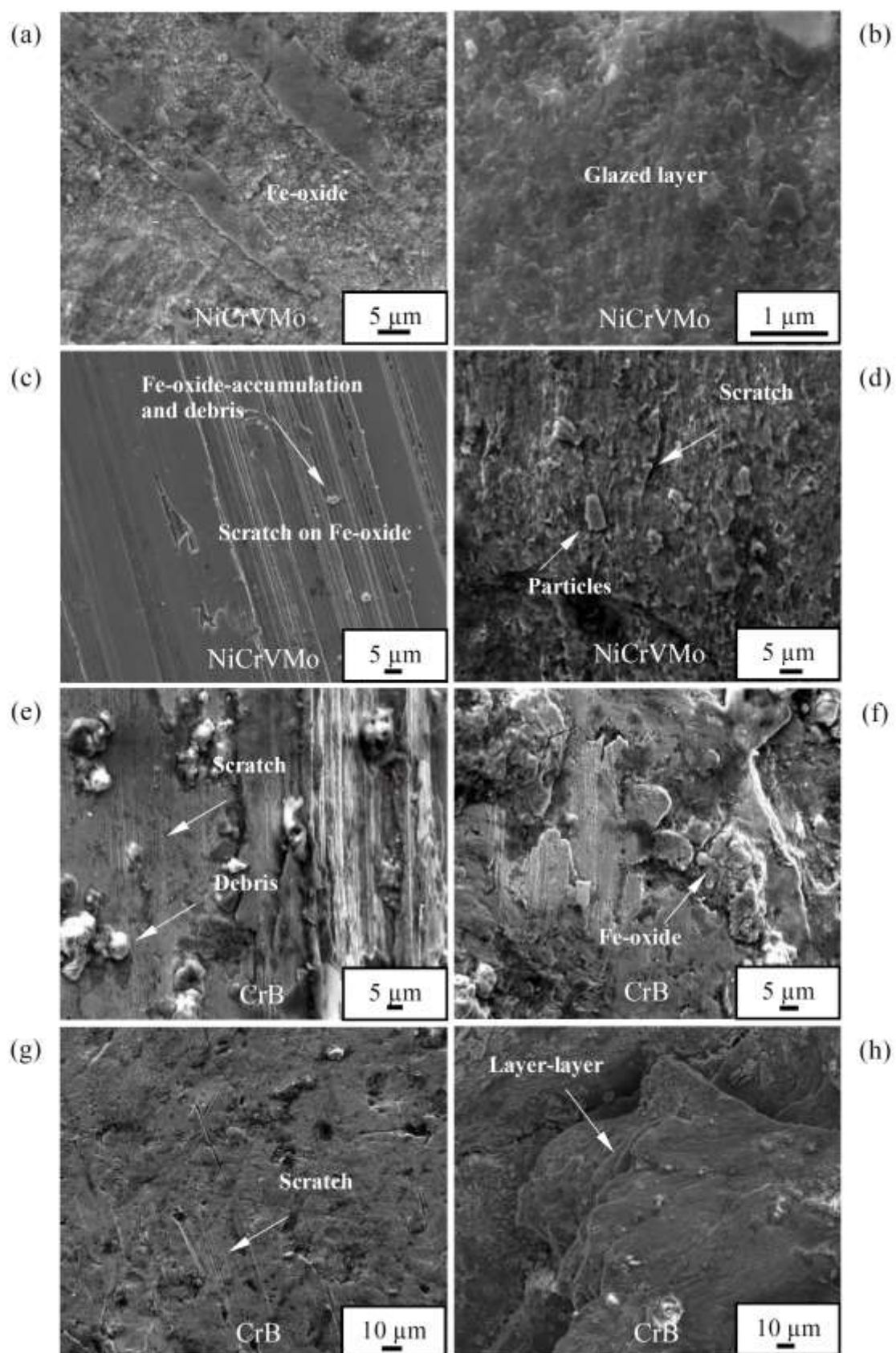
**Fig. 4,** SEM micrographs corresponding to the fracture surface of tensile specimens, showing a dominant ductile mode fracture in all samples, (a) NiCrVMo blade, (b) CrB blade.

### 3.2. SEM observation of worn cutting edges

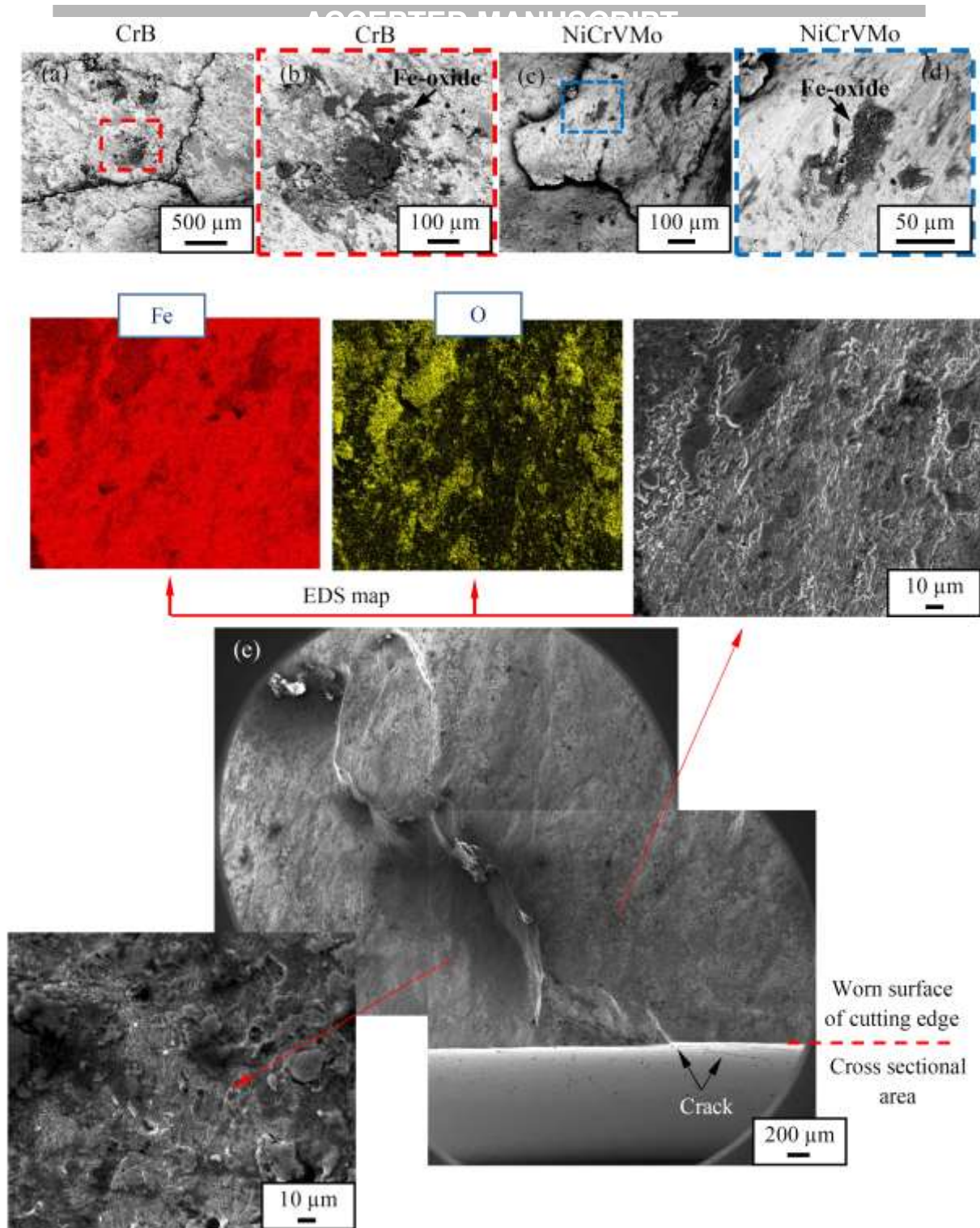
Visual inspections of worn blades showed that the wear was mainly related to sharp cutting edges so that curve shaped edges were formed due to wear (e.g. Fig. 1). The curve shaped edges (i.e. worn edges) had a radius of about 8.0 to 11.5 mm which consisted of local dents. The dents were occasionally observed in CrB blades, whereas a high frequency of them appeared in NiCrVMo blades.

Figs. 5 and 6 show SEM micrographs corresponding to the topmost surface of worn cutting edges in both blades. Smooth worn areas with wear tracks (scratches) were frequently observed in both blades, indicating an adhesive/abrasive wear. Further SEM (SE and BSE)-EDS analysis revealed the presence of Fe-oxide accumulated debris/particles and a non-continuous tribo-oxide layer on these regions of both blades, suggesting an oxidation wear (e.g. Fig. 6). It is clear that the presence of debris/particles on the surface could enhance an abrasion wear during scrap shearing process.

Figs. 5 (h) and 6 (e) indicate typical layer-layer features and fracture regions on the topmost surface of worn areas in studied blades. Microscopy observations suggested different frequencies of these features in two blades so that a higher frequency of fracture regions appeared in NiCrVMo blades, while CrB blades usually showed layer-layer features. Further SEM observations assisted by tilting along transverse direction of these features revealed the presence of initiated cracks from the topmost surface through subsurface deformed/elongated and/or white etching areas. Clearly, these features are associated with spalling and this will be further clarified in section 3.4.



**Fig. 5**, SEM micrographs corresponding to the topmost surface of worn cutting edges in NiCrVMo and CrB blades.



**Fig. 6,** SEM micrographs corresponding to the top surface of worn cutting edges, (a) to (d) BSE images, (e) Selected SE images and corresponding EDS map, showing the presence of oxygen rich areas (NiCrVMo blade).

### 3.3. XRD analysis of topmost surface of worn cutting edges

From the XRD spectra of both blades, two main phases were identified in worn areas which are martensite (with a BCC crystal structure [17]) and retained austenite (with a FCC crystal structure), e.g. Fig. 7. It should be noted that the peak shifting in the obtained spectra from the topmost surface was primarily attributed to residual stresses in worn surfaces. In fact, surface deformations due to wear resulted in residual stresses, though this was not studied as it was out of the scope of this research.

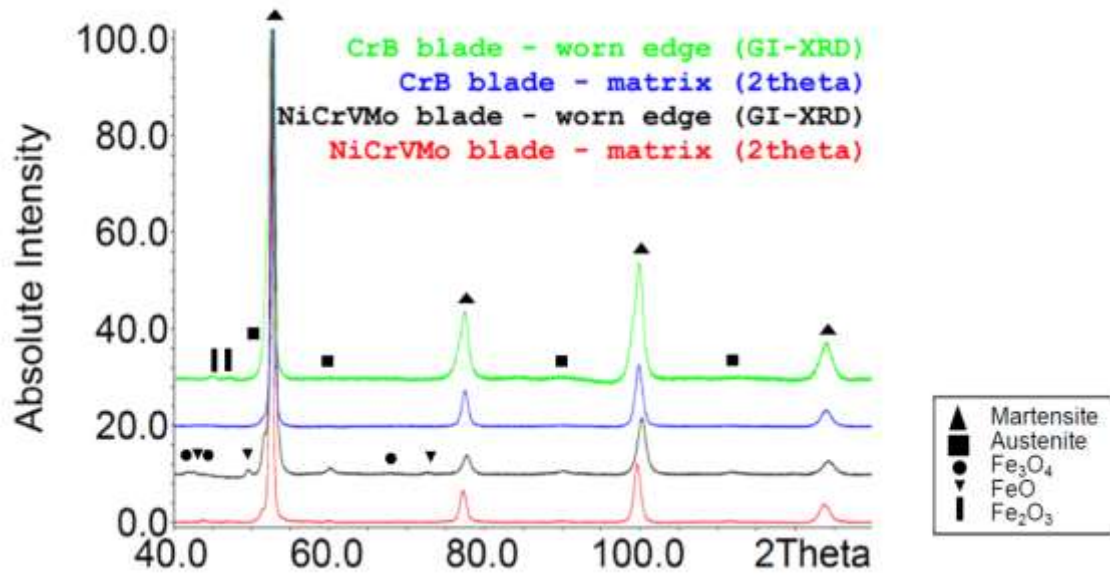
The 2theta-XRD analysis revealed different amounts of retained austenite in the topmost surface of worn cutting edges (round shaped) and matrix of NiCrVMo blades, while the level of retained austenite was negligible in both regions of CrB blades (Table 3). Further XRD analysis of dent shaped worn areas of both blades also showed a considerable higher amount of retained austenite only in NiCrVMo blades.

GI-XRD analysis successfully indicated the presence of retained austenite at worn surfaces of only NiCrVMo blades (Fig. 7). A comparison between the GI-XRD analysis of worn cutting edges with a dent shaped and round shaped regions showed a higher amount of retained austenite in the former regions (Table 3). These results indicated a wear induced phase transformation which could subsequently increase the retained austenite on the surface of worn edges in NiCrVMo blades. From the GI-XRD analysis of both blades, it was also found that Fe-oxides (i.e. FeO, Fe<sub>2</sub>O<sub>3</sub> and Fe<sub>3</sub>O<sub>4</sub>) were formed during wear, though the type of oxides was different in two studied blades (Fig. 7).

**Table 3,** The amount of retained austenite (wt%) determined by the XRD.

XRD method	CrB - blade			NiCrVMo - blade		
	Top surface (dent area)	Top surface (round edge)	Matrix	Top surface (dent areas)	Top surface (round edge)	Matrix
2 theta	Less than one	Less than one	1.1	9.7	Less than one	2.7
Grazing incidence	Less than one	Less than one	Not applied	10.5	3.6	Not applied





**Fig. 7**, XRD spectra (2theta and grazing incidence methods), showing peaks corresponding to ferrite and retained austenite in the matrix and worn cutting edges of NiCrVMo and CrB blades.

### 3.4. SEM analysis of cross-sectional areas of worn cutting edges

In Fig. 8, a few selected SEM cross-sectional images show typical damages at the surface layers of worn blades. Both examined blades showed a plastically deformed/elongated layer on the worn surface. The microscopy observations indicated that this layer is non-continuous so that they were appeared locally at different regions of samples. However, the results suggested a larger frequency of these areas at worn cutting edges of CrB blades compared to NiCrVMo blades.

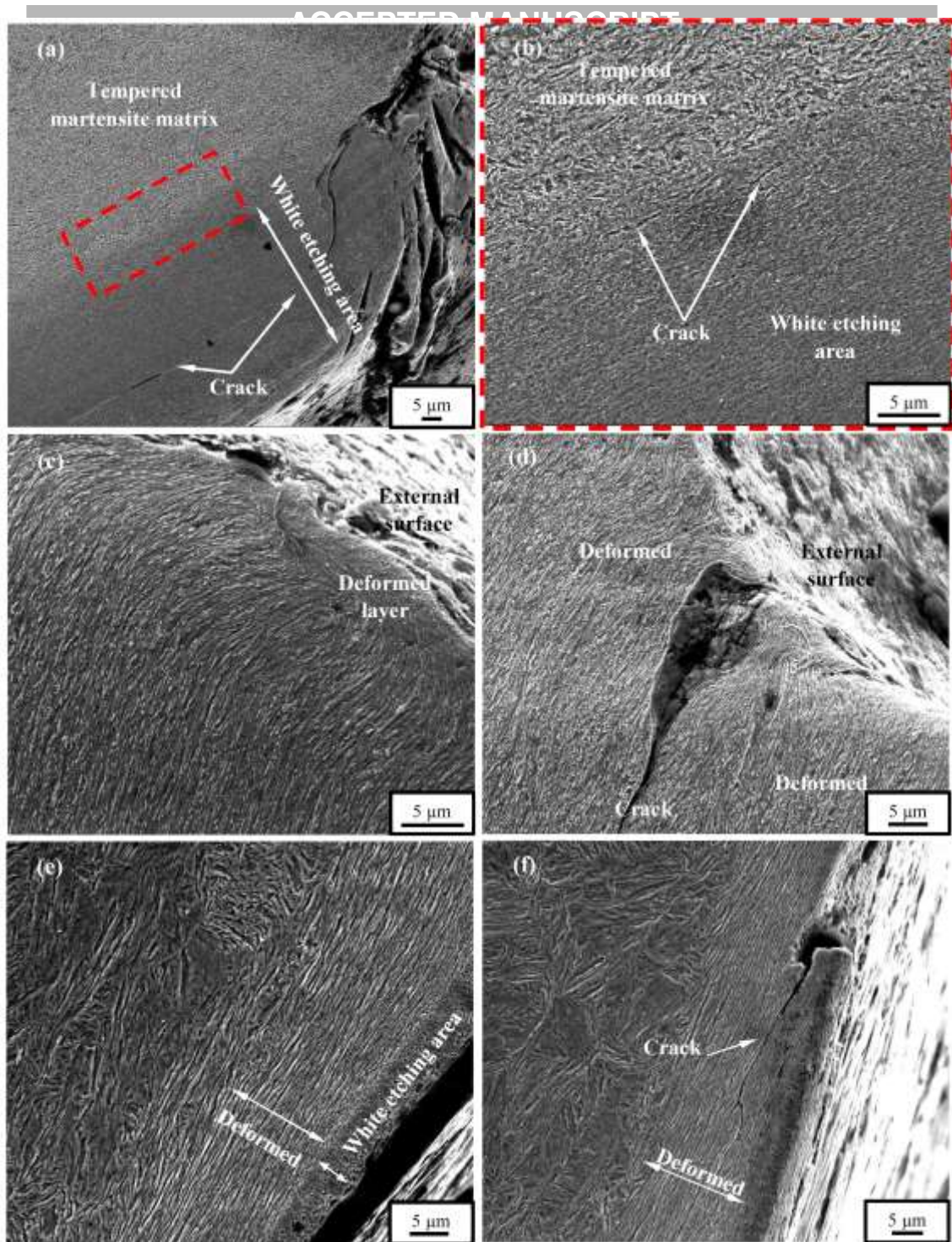
White etching layers/areas were not only found on the worn cutting edge surface, but also in subsurface regions (Figs. 8 and 9). Nevertheless, white etching areas on the worn cutting edge surface and subsurface were hardly observed in CrB blades, while they frequently appeared in NiCrVMo blades, especially in subsurface areas (e.g. at a depth of about 200  $\mu\text{m}$  beneath the worn blade surface). In many places, the white etching layers were found to co-exist with parallel or inclined cracks that these cracks are associated with surface spalling. Such spalling within the depth of white-etching layer is shown broadly in Figs. 8 (a), and more precisely in Fig. 9, where the thickness of spalling particles is in sub-mm scale.

Further FEG-SEM observations of the white etching areas at high magnifications evidenced an ultra-fine ferritic/martensitic structure bands with a small amount of nano-scale bright features (Fig. 9 (e)). SEM-EDS analyses of these areas showed no chemical composition variation compared with

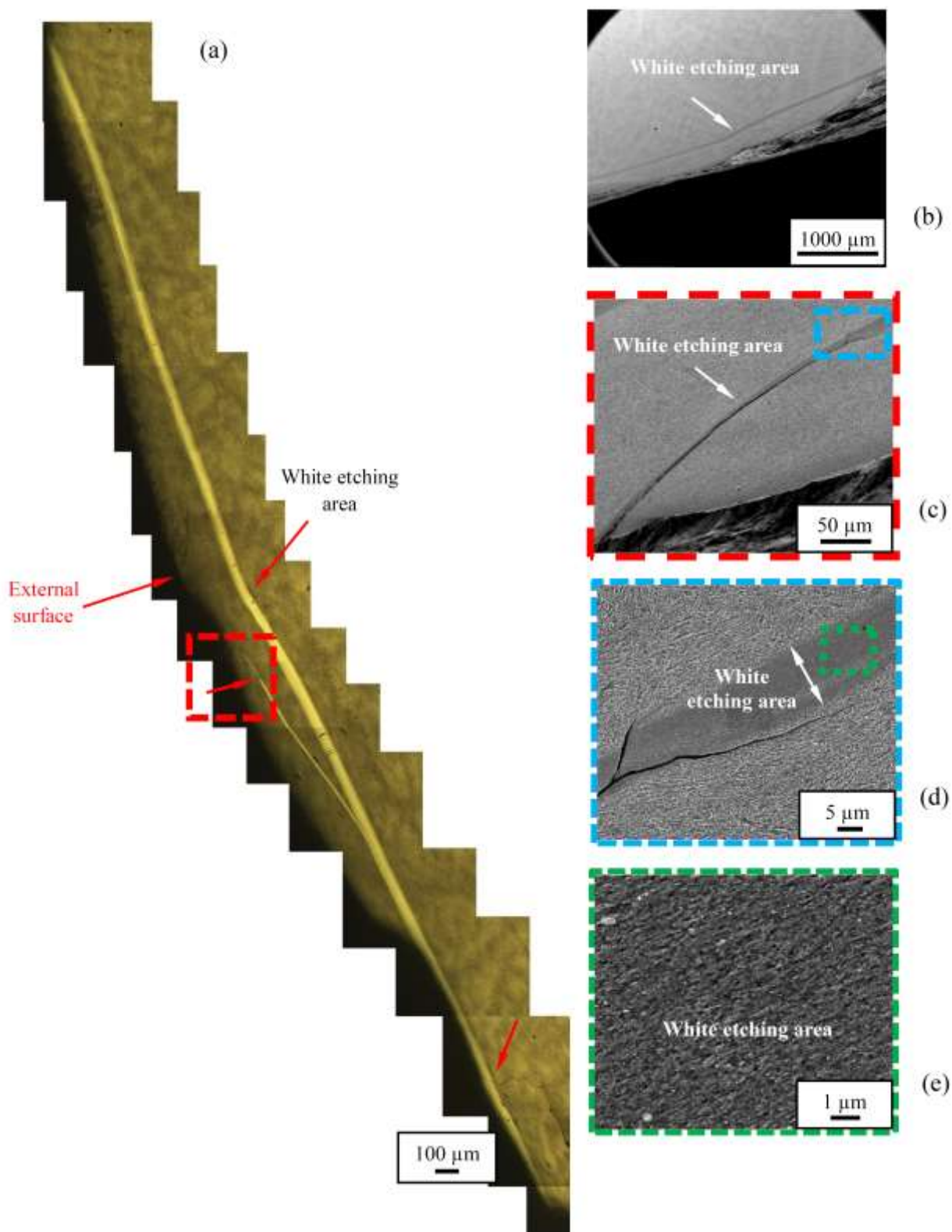
the matrix. These results are in agreement with the findings of other researchers who also reported a similar structure for white etching areas in steels [18,19].

SEM observations also showed arrested cracks in the NiCrVMo steel matrix that had been deflected from subsurface white etching areas (Fig. 9 (d)). It is evident that white etching areas with a thickness of about 10-30  $\mu\text{m}$  precede crack initiation and propagation. Additionally, microscopy analysis did not demonstrate a considerable growth and coalescence of such cracks through the matrix. It is clear that the tempered martensite matrix of NiCrVMo-steel blades could effectively retard crack propagation during wear.

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**Fig. 8**, SEM micrographs, showing tribolayer and elongated/deformed areas below worn cutting edges, (a) to (d) NiCrVMo blade, (e) and (f) CrB blade.

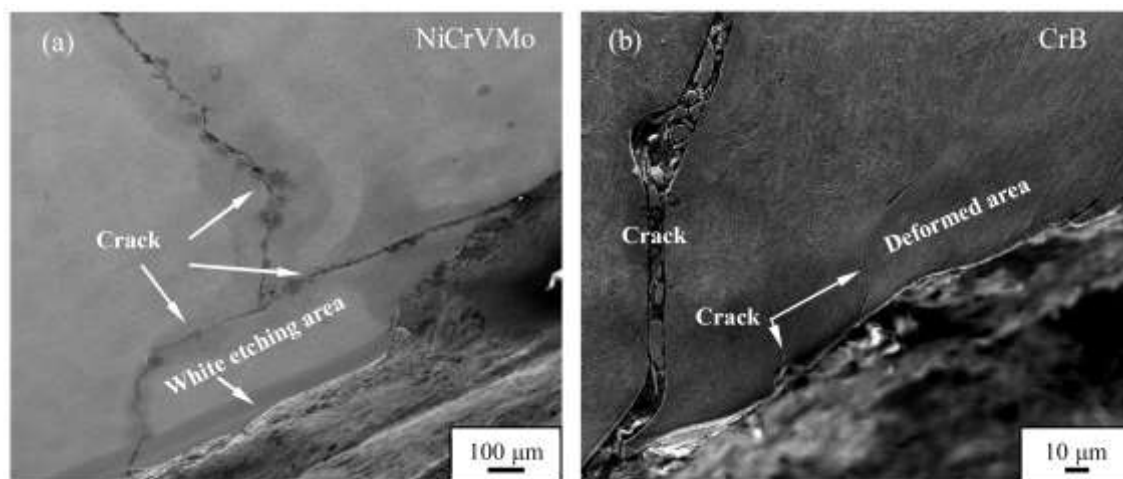


**Fig. 9,** (a) Optical micrograph of an etched sample, showing the presence of subsurface white etching areas in a NiCrVMo blade, (b) to (e) SEM micrographs corresponding to the white etching area in (a).

Fig. 10 shows cracks almost perpendicular to the worn surface that have penetrated deeply up to several millimetres (e.g. 1 to 3 mm) into both blades. These cracks were mainly initiated from

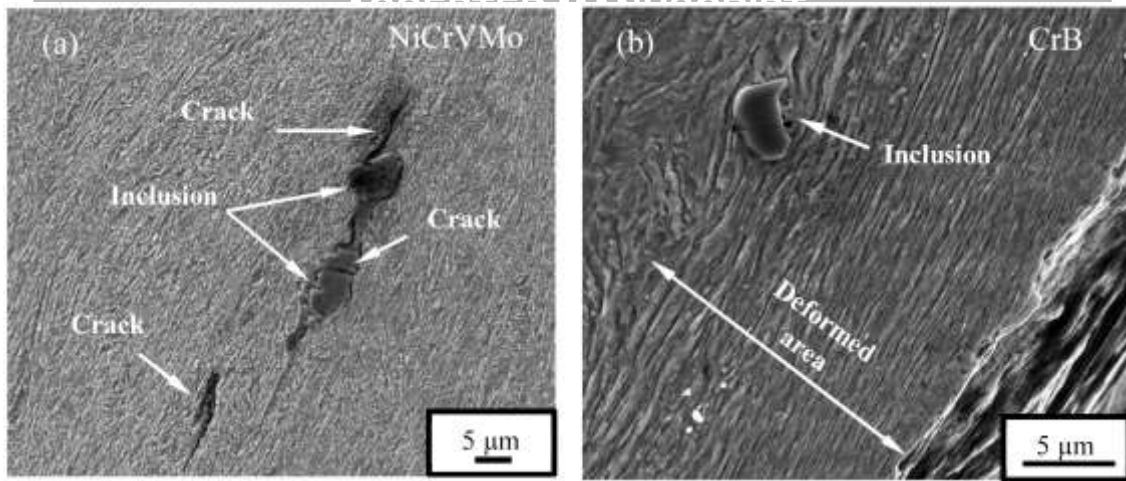


severely deformed areas or white etching layers that could have led to the generation of spalling and delamination wear particles. Some cracks were even opened to have some external solids inserted inside. Such cracks could have been produced after the blade was repeatedly subjected to severe impact loads. Further microhardness measurements indicated that the surrounded regions of crack tips had a lower hardness compared with the matrix (e.g. ~46 HRC). However, comparative SEM-EDS analysis of these areas and matrix did not show any significant difference in their microstructure and chemical composition.



**Fig. 10**, SEM micrographs corresponding to worn areas, showing propagated cracks from deformed and white etching areas through the material.

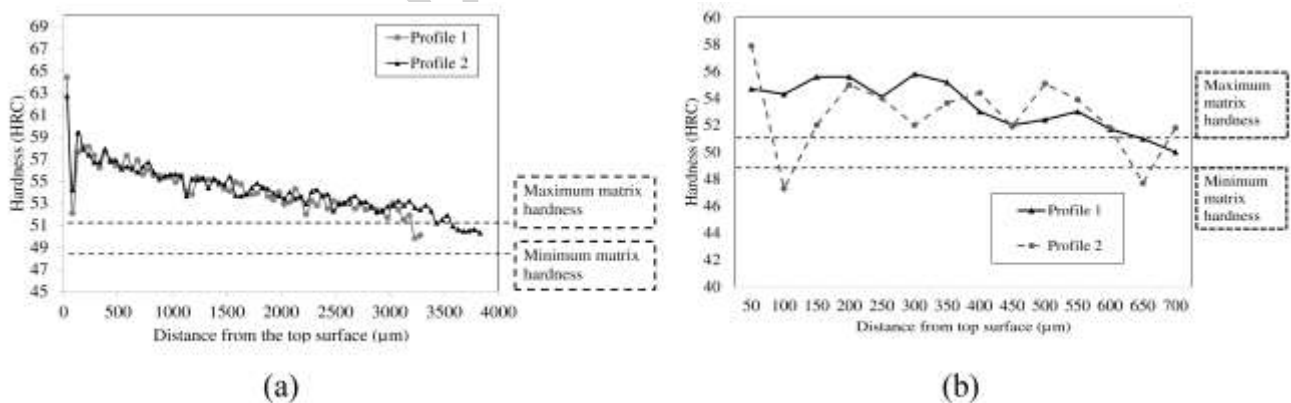
The behaviour of non-metallic inclusions in the wear failure was also examined carefully. Two typical cross-sectional micrographs containing such inclusions are shown in Fig. 11, exhibiting the presence of inclusions in deformed areas just below worn surface. The inclusion in Fig. 11 (a) has been determined to be rich in Mn-S-V using SEM-EDS. Several short cracks were found both inside the inclusion and along its boundary to the matrix. The cracks were enveloped inside the inclusion itself, i.e. without any lateral propagation into the adjacent matrix. This behaviour was observed in almost all of inclusions regardless their morphology and size in NiCrVMo blades. It has been established in the literature that large and incoherent particles/inclusions necessitate a lower amount of subsurface deformation for crack initiation [20,21,22,23]. However, SEM analysis rarely showed initiated cracks from inclusions in CrB blades (e.g. Fig. 11 (b)).



**Fig. 11**, SEM micrographs, showing non-metallic inclusions in subsurface deformed areas, (a) NiCrVMo blade, (b) CrB blade.

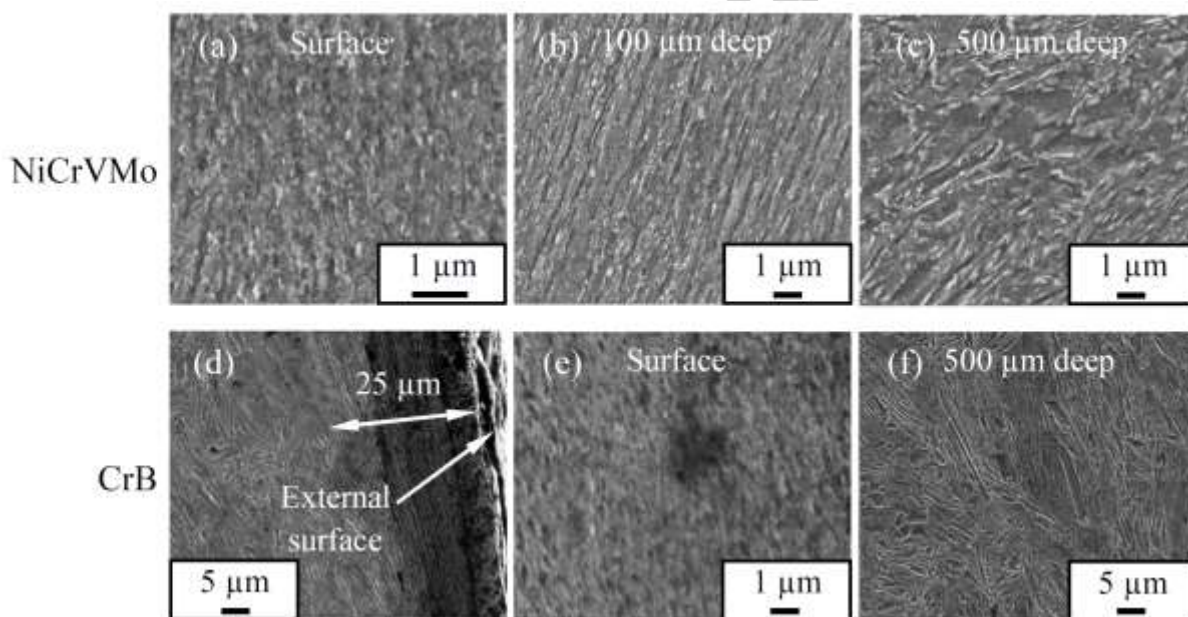
### 3.5. Depth profiles of microstructure and microhardness

Using SEM and microhardness testing, the evolution of microstructure and hardness property from the worn surface to various depths were characterised. Fig. 12 shows the trend of hardness variations from the topmost surface of worn cutting edges along cross sectional area of both blades (see Fig. 1). The hardness values in NiCrVMo blade are related to white etching free areas, whereas in CrB blade the results include white etching (on topmost surface) and severely deformed layers. The results of NiCrVMo blades suggested a higher hardness values up to about 550  $\mu\text{m}$  below the surface (average hardness of  $\sim 53\text{HRC}$ ). Similarly, the microhardness analysis of CrB blades showed a gradual reduction from the topmost surface, i.e. up to  $\sim 4000$   $\mu\text{m}$  below the surface. Moreover, the hardness results of severely deformed (elongated) layer showed locally lower hardness compared to other areas.



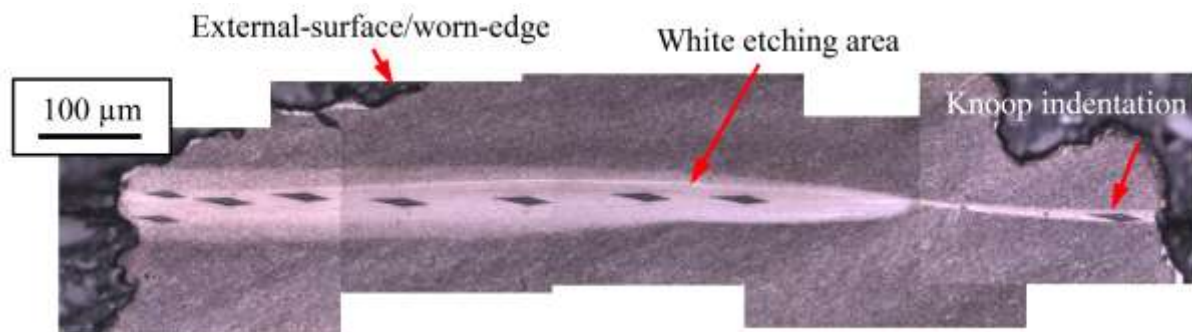
**Fig. 12**, Hardness profile through the transverse direction planes below worn cutting edges (see Fig. 1), (a) CrB blade, (b) NiCrVMo blade.

Fig. 13 shows selected SEM images taken at various depths (from a worn surface) of the NiCrVMo and CrB worn blade samples. The plastic deformation has been found to be non-uniform so that most of the imaged areas exhibit preferentially elongated microstructure along the orientation of the worn surface, whereas the less- or non-deformed structures appear in a few islands in deeper areas. The relative fraction of elongated region and its continuity increase towards the worn surface before entering the top layer of severely deformed microstructure. In the SEM imaged area, such deformed layer exhibited a thickness of maximum  $\sim 100\ \mu\text{m}$ , e.g. Figs. 13 (b) and (d). In the top layer, the entire volume shows a fibre-like microstructure with its elongation parallel to the worn surface. Note that most delamination cracks have been found to generate in such elongated layers, like the one shown in Fig. 8 (f). When the elongated layer was imaged at the best resolution of the FEG-SEM (Figs. 13 (a) and (b)), one can find that the microstructure has been completely replaced by the fibre-like distribution of extremely fine grains. In particular, most of the carbide precipitates exhibit nodular shape and sizes in nano scale. Moreover, microstructural observations and microhardness profiles below the elongated layer suggested that a steady state compressive deformation occurred and the hardness of both steels gradually decreased with distance increases from the topmost surface (Figs. 13 (c) and (f)).



**Fig. 13,** Selected SEM micrographs, showing the variation of microstructure at different depths below the topmost worn surface, (a) to (c) NiCrVMo blade, (d) to (f) CrB blade.

Microhardness measurements of surface/subsurface white etching areas showed a hardness of ~30 to 75% higher than the tempered-martensite matrix in NiCrVMo blades (i.e. ~65 to 88 HRC) (e.g. Fig. 14). Similarly, the hardness of white etching areas in CrB blade was ~25% higher than the martensitic matrix (i.e. ~62 to 65 HRC).



**Fig. 14,** Selected optical micrograph, showing the position of Knoop-microhardness indentations in a subsurface white etching area in the NiCrVMo blade.

## 4. Discussion

### 4.1. Wear mechanisms of shear blades

The wear mechanisms of the blades investigated have been found to include progressive wear by means of abrasive wear, adhesive wear and tribo-oxidation, and surface spalling featured with deformation/delamination, white etching layer and crack propagation.

The adhesive wear is featured with a material transfer and subsequent shearing off during adhesive contacts which forms scale like topography on the fracture surface [24]. The reason to consider adhesive wear as a major progressive wear mechanism has been based on the description of the end-user that the blades were used in cutting steel-based scraps. In such a working circumstance, the cutting process would have involved a severe steel-to-steel sliding contact. Such a contact is known to be strongly adhesive, although the current SEM observations failed to find any typical fresh feature of adhesive wear. However, adhesive sliding wear is known to exhibit severe friction which triggers extensive plastic deformation of the top worn surface. Interestingly, such plastically deformed worn surfaces were found in almost all the observed cross-sectional samples, Fig. 8.

Oxidation wear is featured with the presence of Fe-oxide debris/layer on worn surfaces. SEM analysis of topmost surface of both blades demonstrated Fe-oxide layer on worn surfaces which suggested the possible frictional temperature rises during shearing process (Figs. 5 and 6). The oxidation wear is more pronounced in the NiCrVMo blade, on which GI-XRD analysis of the worn cutting edge has detected both magnetite ( $\text{Fe}_3\text{O}_4$ ) and wustite ( $\text{FeO}$ ) whereas such oxides were not

detected on the worn edge of CrB blade (Fig. 7). It is thought that the oxide was mainly formed due to mutual interaction between blade surface and scraps. In fact, interfacial temperature rises due to frictional heat increased the temperature over the flash temperature, especially in asperity contacts [25,26,27,28]. A high temperature in addition to ambient humidity could enhance the oxide formation on the surface. The asperities were smeared and debris was formed during initial stage of impact/sliding wear by abrasion or adhesive spalling of mating surface asperities [29,30]. However, an abrasion due to sliding or rubbing the trapped debris or detached wear particles (e.g. hard oxides) between contact surfaces scratched and ploughed grooves on the worn surfaces (Fig. 5) [27,31].

SEM analysis showed the presence of fracture surfaces and cracks in different features on the topmost surface and subsurface areas of worn cutting edges (e.g. Figs. 5, 6 and 8). These results demonstrated three types of spalling in two studied blades which could differently and considerably remove the material from the surface of cutting edges.

The first type of spalling took place within the depths of severely deformed layer (e.g. Fig. 8 (f)). In fact, shear deformation increases due to wear is accompanied by a dislocation pile-up in the subsurface [32]. Therefore, further sliding of mating surfaces likely caused a micro-crack initiation and propagation under a combined tensile and shear mode in deformed regions until the final fracture [33]. It has been also reported that cracks can be formed under impact loadings in subsurface features of worn surfaces [34]. A cyclic loading at high strain amplitudes on contact areas of cutting edges during shearing process could lead to surface fracture. It can be thus inferred that the surface spalling due to delamination wear could primarily result from repetitive sliding/impact loadings during shearing process.

The second type of spalling happened due to the formation of white etching bands, where the white etching bands could be either in the outmost worn surface (e.g. Fig. 8 (a)) or in the subsurface (Fig. 9). As pointed out earlier in section 3.5, these regions have a considerably higher hardness compared to the matrix. The observed difference between the hardness of matrix and white etching areas leads to a higher stress concentration in these areas. Presumably, a repeatedly striking/sliding during shearing process could result in crack initiation and propagation and consequently cause surface spalling. Our results evidenced the presence of cracks through subsurface white etching areas demonstrated that this mechanism significantly contributed in the formation of deep cracks and consequently material removal, especially in NiCrVMo blades. It is thus clear that the material removal from surface was considerably accelerated by white etching areas. Similarly, Zhang et al. showed that surface and subsurface white etching areas in a tempered-martensitic structure of low

alloy steels dominantly act as nucleation sites for crack initiation during impact wear [19]. They showed that this mechanism can remarkably reduce the wear resistance.

The third type of spalling was caused by deep cracks almost normal to the outermost surface of worn cutting edges, frequently surrounded by a relatively lower hardness region (Fig. 10). These cracks were mainly initiated from severely deformed layers or surface white etching areas. It is thought that localised deformations and frictional temperature increases might soften the material which encouraged the crack tip growth through materials [35]. However, these cracks could result in large wear particles and left macro-scale dents on the worn cutting edge.

Small propagated cracks from interfaces of non-metallic inclusions through the matrix were extensively observed only in NiCrVMo blades. In fact, mobile dislocations generated by the applied loads during shearing process can be blocked and piled up by inclusions [20,36,37]. Presumably, this is stronger than the cohesive strength of matrix and inclusion, and could enhance void/crack formations. Although there is no available data on the coherency of inclusions in this study, it is thought that the stronger cohesion between inclusions and matrix was likely the reason for the absence of cracks in CrB blades. Cracks were also observed through inclusions (e.g. V-Mn-S rich inclusions) in NiCrVMo blades (e.g. Fig. 11 (a)). Perhaps dislocation pile-up stresses can break hard particles which form small cracks [37]. However, our results did not show any large propagated cracks inside the matrix, suggesting that non-metallic inclusions did not contribute to wear in studied blades.

#### **4.2. Subsurface microstructure evolution**

As mentioned earlier, NiCrVMo blades and CrB blades are used to cut metal scrap with a hardness of less than steel shear blades. This means that the loading conditions are ideally in an elastic regime for blades. However, in actual conditions a complex stressing condition applies on cutting edges during shearing process which can be influenced by many factors such as interaction areas, strain rate and friction. Additionally, the observed wear mechanisms in two studied blades, with the same average hardness, indicated that the wear performance depends upon a wide range of mechanical properties of materials [38,39]. Therefore, shearing process conditions and the mechanical properties of blades are taken into consideration to explain the reasons for surface/subsurface microstructural evolutions.

The severely deformed layers indicate that, as a result of strong mechanical loads, the surfaces of cutting edges have completely lost their original hardened microstructure of as-quenched or tempered martensite. For the NiCrVMo steel, the deformed layer showed not only extremely

elongated fine ferritic matrix, but also further nodularised carbide particles as compared to those in the tempered martensite. Consequently, the hardness property of the deformed layer was found to be different from the bulk metal. In particular, the two blades showed different subsurface hardness profiles (Fig. 12). For the CrB blade, a linear increase of hardness was measured from the bulk steel to the worn surface, reaching a maximum hardness of about 65 HRC. Such hardness profile indicates predominantly the deformation induced work hardening [40,41]. On the other hand, the NiCrVMo blade did not show a deformed top surface as hard as the CrB blade. Instead, the hardness profile reached a relatively stabilised range of values along with the elongated microstructure, which may imply the combined effects of both work hardening and deformation induced softening. Because most energy spent in the plastic deformation is known to convert to thermal energy, such softening can be explained by an in-situ self-recovery of the work hardened microstructure.

The microscopy observation also showed a higher frequency of deformed/elongated areas in CrB blades compared to NiCrVMo blades. A possible explanation for the different frequencies of deformed areas in two studied blades is inferred by taking into account the microscopy observations and microhardness analysis. The most likely reason is related to the effect of frictional temperature rises on the softening of surface areas [26,42]. Although local temperature rises in worn areas of studied steels are difficult to estimate, but this phenomenon is not outside the realm of possibility. It is thus clear that a probable thermal softening due to frictional temperature rises could encourage local deformations during wear. However, in NiCrVMo steel, a rather finer tempered-martensitic structure, solid solution strengthening and a high density of Fe/alloy carbides retarded the possible softening [10,43,44,45]. Previous studies have shown that CrB-steel have a lower temper softening resistance, especially at temperatures over 300 °C compared to NiCrVMo steel [46]. Similarly, other researchers have reported that frictional temperature rises in steel sliding over steel can reach even over 1000 °C [25,47, 48]. It has been shown that over a critical temperature due to wear the material can be temper softened, while below this range a work hardening occurs. This is consistent with our conclusions, suggesting that frictional heating during shearing might locally soften the surface of steels and a temperature gradient enhanced subsurface deformations [11,44,48].

The two blades behaved differently in the white etching layers/areas. The CrB blade formed white etching layers mostly in the surface deformed layer, whereas the NiCrVMo blade formed such layers not only on the surface but also in subsurface regions (Figs. 8 and 9). Different mechanisms have been suggested in the literature for the formation of white etching areas. White etching areas are generally formed due to friction and localised severe deformations during sliding/impact wear [18,49,50]. Such a localised energy conversion can be used to explain the formation of the white

etching layers. It is known that the maximum shear loads would be on the contact surface if the actual friction coefficient is high enough which consequently resulted in the surface deformed layer. If the plastic deformation took place in such an extremely high rate that the converted thermal energy did not have enough time to spread to the nearby volume, depending on the thermal conduction coefficient, the localised temperature would be high enough to enforce a phase transformation from martensite or ferrite to austenite [51]. Then subsequent cooling of the austenite would lead to the formation of a mixture of fine martensite and retained austenite [52]. In current work, the XRD analysis of NiCrVMo blades also confirmed the increased fraction of austenite in worn cutting edges as compared to the bulk blade (Table 3). It is clear that a localised severe deformation occurred due to extremely high compressive and shear loads in their service conditions that could increase the temperature up to austenite formation temperature. Other researchers also studied the austenite formation during wear [26,53]. Similarly, they reported that the austenite can be formed during wear by a rapid austenitisation in worn areas so that the amount of retained austenite can be changed during subsequent cooling.

Moreover, the formation of white etching layers at certain depths below the surface suggested a mechanism that could enhance a subsurface severe localised deformation (Fig. 9). Such behaviour reflects the applied loading circumstances. In fact, worn edges was subjected to repeated impact and compressive loads so that the blade exhibited low rate of progressive wear caused by abrasive and adhesive wear. As a result, a maximum shear stress was caused at a certain depth for a period of repeated loading. In other words, repeated strong impacting is a major loading characteristic. Also, the lower toughness of NiCrVMo steel (i.e. ~10J) during high strain impact/compressive loading conditions could enhance localised severe deformations along shear bands, resulted in subsurface white etching layers formation [54]. This is in agreement with the findings of other researchers who also reported that white etching layers can be extensively formed in low toughness tempered-martensite steels during dynamic compressive deformations [55]. They showed that a lower absorbed compressive deformation could be responsible for the formation of white etching (adiabatic shear) layers.

## 5. Conclusions

An investigation was performed into NiCrVMo-steel (tempered-martensitic structure) and CrB-steel (martensitic structure) worn scrap shear blades to better understand their wear mechanisms under service conditions. The following concluding remarks can be made:

1. In studied blades, the observed wear mechanisms were mainly categorised in progressive and spalling wear.



2. The results suggested a progressive wear due to abrasive, adhesive and oxidation wear in both NiCrVMo and CrB blades.
3. Spalling due to delamination wear from severely deformed subsurface areas and crack propagation in CrB blades was the dominant severe wear mechanism. In NiCrVMo blades, spalling and crack propagation from surface and subsurface white etching layers were mainly responsible for the severe wear.
4. Within subsurface deformed regions, non-metallic inclusions were observed in both NiCrVMo and CrB blades. Nevertheless, the results showed that inclusions did not contribute to the wear of both blades during shearing process.

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## Highlights

- The wear mechanisms were progressive wear and spalling wear in studied blades.
- Progressive wear occurred due to abrasive, adhesive and oxidation wear.
- Spalling wear was caused by delamination, white etching layers and crack propagation.
- Spalling wear was mainly responsible for the severe wear.
- Inclusions did not contribute to wear during shearing process in studied blades.